

# **Generative ConvNet** with Continuous Latent Factors

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### 1. Introduction

### **1.1 Background & Objective**

Machine learning is nowadays a fundamental part of Artificial Intelligence. People want the computer to learn the real meaning of the image (or other data) by itself.

The generative model can synthesis new data which is similar but not the same by training on sort of unlabeled data. The meaningfulness of the output represent that the model truly understood the input data.

In this project, the target is to design a generative model which can accomplish both reconstruction and synthesis in order to prove its ability on understanding input data. Many experiments are designed to evaluate the effectiveness of this model.

### **1.2 Model Design**

We use the **unsupervised learning** of a popular top-down generative ConvNet model with latent continuous factors can be accomplished by a learning algorithm that consists of alternatively performing **back-propagation** by Langevin Dynamic on both the latent factors and the parameters. The model is a non-linear generalization of **factor analysis**, where the highdimensional observed data vector, such as an image, is assumed to be the noisy version of a vector generated by a non-linear transformation of a lowdimensional vector of continuous latent factors.

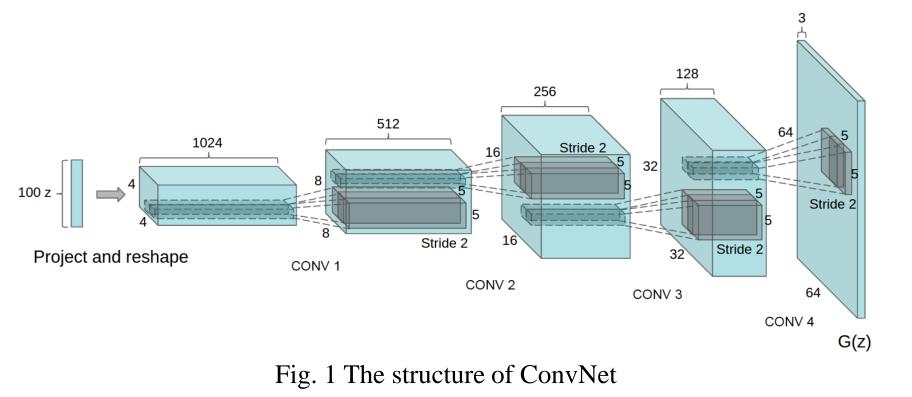
### **2.2 ConvNet Loading**

Our model generalizes the linear loading WZ to a non-linear loading f(Z; W), where f is a ConvNet.

 $Y = f(Z; W) + \epsilon$ 

Specifically, we can write the top-down ConvNet as follows

 $f(Z; W) = f_1(W_1 f_2(W_2 \dots f_n(W_n Z + b_n) + \dots) + b_1)$ Where  $f_l, W_l, b_l$  represent the element-wise non-linearity, weights, bias terms at layer l.

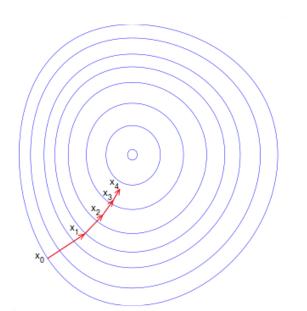


 $L(W, \{Z_i\}) = \sum_{i}^{n} \log p(Y_i, Z_i; W)$ =  $-\sum_{i}^{n} \left[ \frac{\|Y - f(Z_i; W)\|^2}{2\sigma^2} + \frac{\|Z_i\|^2}{2} \right] + constant$ 

Whose gradient for W and  $Z_i$  is

$$\begin{cases} \frac{\partial L}{\partial Z_{i}} = \frac{1}{\sigma^{2}} \left( Y_{i} - f(Z_{i}, W) \right) \frac{\partial f}{\partial Z_{i}} - Z_{i} \\ \frac{\partial L}{\partial W} = \sum_{i=1}^{n} \frac{1}{\sigma^{2}} \left( Y_{i} - f(Z_{i}, W) \right) \frac{\partial f}{\partial W} \end{cases}$$

Maximum likelihood estimation can be accomplished by the alternating gradient descent that iterates the following two steps, (1) Inferential back-propagation: For each I, run L steps of gradient descent to update



### 2. Model Structure

### **2.1 Factor Analysis**

The basic linear factor analysis model is

 $Y = WZ + \epsilon$ 

Where Y represent observed data vector,  $Z \sim N(0, I_d)$  are latent factors, W is transformation matrix and  $\epsilon \sim N(0, \sigma^2 I_d)$  is observational noise.

## 3. Alternating back-propagation

### **3.1 Gradient Descent**

If we observe a training set of data vectors  $\{Y_i, i = 1, 2, ..., n\}$ , then each  $Y_i$  has a corresponding  $Z_i$ , but all the  $Y_i$  share the same ConvNet W. Intuitively, we should infer  $\{Z_i\}$  and learn W to minimize the reconstruction error  $\sum_{i=1}^n || Y - \sum_{i=1}^n || Y - \sum_$  $f(Z_i; W) \parallel^2$  plus a regularization term that corresponds to the prior on Z. In other word, maximum the log-likehood:

 $Z_i \leftarrow Z_i + \eta \partial L / \partial Z_i$ (2) Learning back-propagation: Update  $W \leftarrow W + \eta \partial L / \partial W$ 

Fig. 2 Gradient Descent in 2D

### **3.2 Langevin Dynamics**

A more rigorous approach to maximum likelihood learning involves maximizing the observed data log-likelihood L(W) =

 $\sum_{i=1}^{n} \log p(Y_i; W) = \sum_{i=1}^{n} \log \int p(Y_i, Z_i; W) dZ_i$ , where the latent factors have been integrated out.

The formula for updating W are the same as gradient descent and updating Z are similar to it, with a noise added.

$$Z_{i+1} = Z_i + \frac{\Delta^2}{2} \left[ \frac{1}{\sigma^2} \left( Y - f(Z_i; W) \right) \frac{\partial f}{\partial W} - Z_i \right] + \Delta \epsilon$$

# 4. Experiment

Our model can be used on any kind of data include sounds, images and videos. The following is the images data experiment.

### **4.1 Reconstruction and Synthesis**

We evaluate our model by reconstruction and synthesis. Theoretically, every  $Z \sim N(0, I_d)$  can lead to a meaningful image by train on image. Using Z inferred by an original image is called reconstruction and using random Z is called synthesis.





Fig 7. Synthesis Results on 10000 clothes images

### **4.2 Interpolation**

The linear combination of two or more inferred Z (by training image) is called interpolation.

The combination is  $Y_i = f(\frac{i}{n}Z_1 + \frac{n-i}{n}Z_2; W)$ 



#### **Trainging on 10000 face images --- Good result**

The result of 10000 face images is good with a low train/test error and a high negative error.

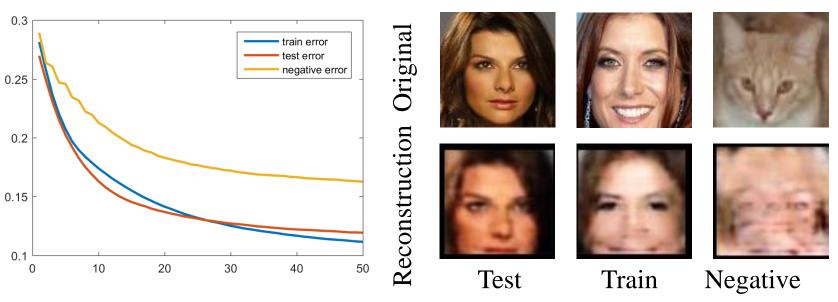


Fig 10. The reconstruction error curve and reconstruction result on face **Trainging on 20000 face images --- Underfitting** 

The result of 20000 face images is underfitting since train/test/negative error are all similar.

#### Fig 3. Synthesis Result on on 6 tigers and 5 lions

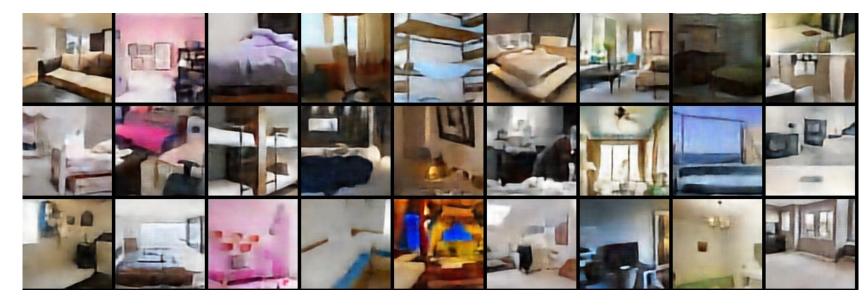


Fig 4. Reconstruction Results on 20000 Bedroom images



### Fig 5. Synthesis Results on 20000 Bedroom images

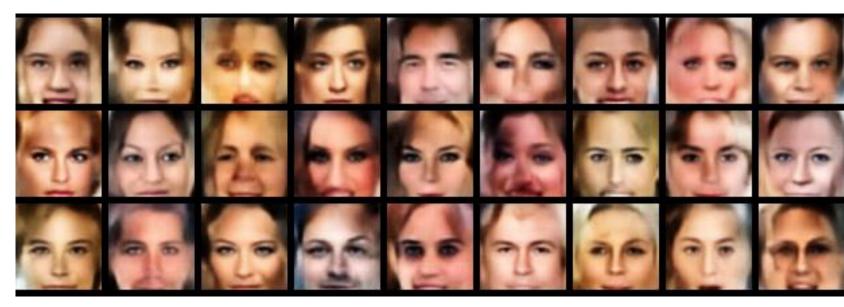


Fig 6. Synthesis Results on 10000 face images

Fig 8. The linear interpolation by 2 images. The left/right most one is the original image.

### 4.3 Train/test/negative reconstruction

The reconstruction error is defined as

 $\sum_{i=1}^{n} \| Y_i - f(Z_i; W) \|^2$ 

In order to evaluate the qualify of the model. We test not only the training data, but also the test data which is the same category as training one but do not involve the training procedure and the negative data which is the different category. The following are 3 model training on cat, face and bedroom. Which are overfitting, good and underfitting.

#### Trainging on 100 cat images --- Overfitting

Due to small-scale data and large parameters, the training error become slightly small but the test error are as large as the negative error.

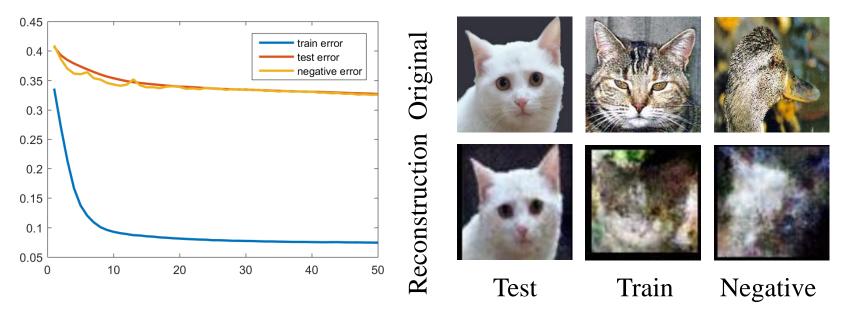


Fig 9. The reconstruction error curve and reconstruction result on cat

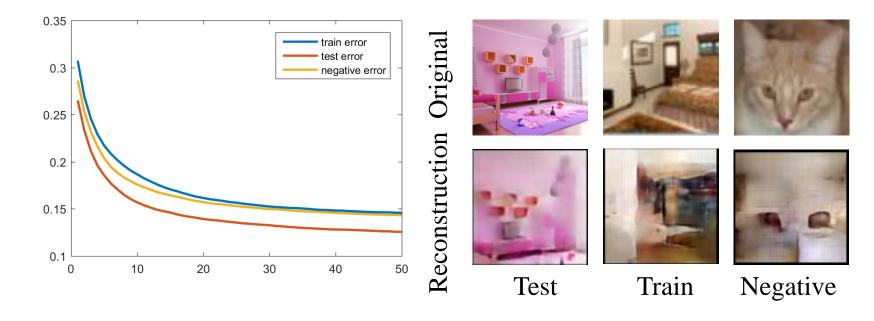


Fig 11. The reconstruction error curve and reconstruction result on bedroom

# 5. Acknowledgement

Han, Tian, et al. "Learning Generative ConvNet with Continuous Latent **Factors by Alternating Back-Propagation.**" arXiv preprint arXiv:1606.08571(2016).

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